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**PATENT**

Attorney Docket No. A-69366  
Dorsey File No. 467766-00040

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

LIU et al.

Serial No.: 09/628,567

Filing Date: July 31, 2000

For: An iPOS Transaction Terminal

Examiner: Trail, Allyson Neel

Art Unit: 2876

CERTIFICATE OF MAILING

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April 16, 2004

Signed:

*Careyna Heralta*  
Careyna Heralta

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying substitute for form PTO-1449. Copies of the references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application.

04/21/2004 ZJUHR1 00000087 09628567

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180.00 OP

Serial No.: 09/628,567  
Filing Date: July 31, 2000

Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

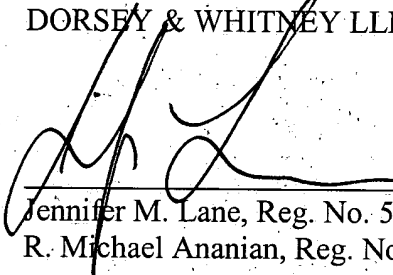
Pursuant to 37 C.F.R. §1.97(c), enclosed is a check in the amount of \$180,00 as set forth in 37 C.F.R §1.17(p). While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 467766-00040 (A-69366)).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,  
DORSEY & WHITNEY LLP

Dated: 4-16-04  
Dorsey & Whitney LLP  
Intellectual Property Department  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989  
Facsimile: (415) 398-3249

BY:

  
Jennifer M. Lane, Reg. No. 51,916 for  
R. Michael Ananian, Reg. No. 35,050

Filed under 37 C.F.R. § 1.34(a)

Customer Number: 32940

Attachments:

Check for \$180.00  
Form PTO/SB/8A-B, Substitute for Form PTO-1449  
Twenty-four (24) references

APR 20 2004

Substitute PTO/SB/08A-B (08-03)  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number **09/628,567**  
Filing Date **July 31, 2000**  
First Named Inventor **Liu**  
Group Art Unit **2876**  
Examiner Name **A. Trail**  
Attorney Docket Number **A-69366/MAK/LM**

Sheet **1** of **2**

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,025,372	06-18-1991	Burton et al.	
	A2	5,144,115	09-01-1992	Yoshida	
	A3	5,642,485	06-24-1997	Deaton et al.	
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	A5	5,761,650	06-02-1998	Munsil et al.	
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	A19	6,611,814 B1	08-26-2003	Lee et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>2</sup> Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<sup>6</sup>
	B1	EP 0 474 360 A2	03-11-1992	Visa International Service Assoc		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<sup>6</sup>
	C1	ANONYMOUS, "@pos.com Unveils Internet Initiative for Web-Enabling Retail Point-of-Sale", PR Newswire, June 29, 1999, 4 pages.	
	C2	BANK, DAVID, "Electronic Commerce Debuts on Internet", Austin American Statesman, April 12, 1994, page E1.	
	C3	GAGE, DEBORAH, "Sun: Javawallet in pocket - Electronic wallet can organize, display transactions, credit data", Computer Reseller News, August 26, 1996, page 74.	

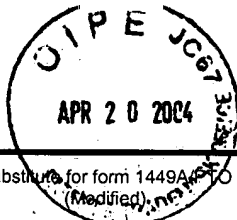
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<p>Substitute for form 1449A-PTO (Modified)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	09/628,567
				Filing Date	July 31, 2000
				First Named Inventor	Liu
				Group Art Unit	2876
				Examiner Name	A. Trail
				Attorney Docket Number	A-69366/MAK/LM
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	C4	GORADIA et al., "NetBill 1994 Prototype", Carnegie Mellon University, 1994, 91 pages.		

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